

SUPPORTING STATEMENT

WIOA Annual State Performance Report Template, WIOA Annual Local Area Performance Report Template, WIOA Eligible Training Provider (ETP) Performance Report Template, WIOA Joint Participant Individual Record Layout (PIRL), and WIOA Data Element Specifications
OMB CONTROL No. 1205-0NEW

A. JUSTIFICATION

1. Explain the circumstances that make the collection of information necessary.

The data collection proposed under 1205-0NEW provides, as required by section 116(d) of the Workforce Innovation and Opportunity Act (WIOA), separate report templates jointly developed by the Departments of Labor and Education for the: 1) State Performance Report, to be used for the reporting of data by entities that administer WIOA core programs; 2) Local Area Performance Report for certain Title I, Subtitle B programs, and 3) Eligible Training Provider Performance Report for Title I programs.

Section 116 of WIOA requires States and Local Areas that operate core programs of the workforce development system to comply with common performance accountability requirements for the six core programs of the publicly-funded workforce system, which are: the Adult, Dislocated Worker, and Youth programs (title I); the Adult Education and Family Literacy Act program (title II); the Wagner-Peyser Act program (title III); and the Vocational Rehabilitation program (title IV). As such, States and Local Areas that operate core programs must submit common performance data to demonstrate that specified performance levels are achieved. The common performance data will be reported through OMB 1205-0NEW.

In addition, OMB 1205-0NEW will collect information specific to eligible training providers who are eligible to receive funds from Adult and Dislocated Worker programs authorized under title I of WIOA.

Section 116(d)(2) of WIOA mandates that the Secretaries of Labor and Education develop a template for performance reports to be used by States, local boards, and eligible providers of training services for reporting on outcomes achieved by participants in the six core programs. Corresponding proposed regulations for these data collection requirements, including which primary performance indicators apply for each core program, are contained in Notices of Proposed Rulemaking (NPRMs) related to: 20 CFR part 680 (Adult and Dislocated Workers); 20 CFR part 681 (Youth); 20 CFR part 652 (Wagner-Peyser); 34 CFR parts 462 and 463 (Adult Education and Family Literacy Act); and 34 CFR part 361 (Vocational Rehabilitation). The NPRMs were published on April 16, 2015, at 80 Fed. Reg. 20573. The comment period for the proposed regulations closed on June 15, 2015.

Sec. 506(b)(1) of WIOA states that section 116 of WIOA will go into effect at the start of the second full program year after the date of enactment (July 22, 2014). Therefore, the performance accountability system required under section 116 will be effective on July 1, 2016. The Departments are seeking approval of these information collection requirements as soon as possible to allow states, locals, and other entities to begin enhancing systems that will enable them to collect the data necessary to implement the reporting requirements of section 116 by July 2016.

If this information collection receives OMB approval, it may be finalized before the proposed regulations are finalized. If this occurs, the Departments will resubmit this ICR to OMB for its approval when the Final Rule is published, as required by 5 CFR 1320.11(h). However, the Departments plan to review and analyze any comments received on the NPRMs that are relevant to this ICR together with comments received on this ICR as we finalize this ICR. This is intended to enable the Departments to finalize this ICR before the proposed regulations, and to minimize the need to make substantive changes to the ICR when the Final Rule is published. These templates have been designed to maximize the value of the reports for workers, employers, local elected officials, State officials, Federal policymakers, and other key stakeholders. At the same time, all of the forms have been designed to reflect the specific requirements of the reports as described in sections 116(d)(2) through (4) of WIOA.

The **WIOA Participant Individual Record Layout (PIRL)** provides a standardized set of data elements, definitions, and reporting instructions that will be used to describe the characteristics, activities, and outcomes of WIOA participants. States and local areas will be required to collect participant information that corresponds with the data elements and descriptions delineated within the PIRL. Once collected, this information will then be aggregated according to the conditions outlined in the **WIOA Data Element Specifications**. This document details the common data elements and technical specifications necessary for calculation of the State and Local Area performance report elements and will be used in reporting across all core programs. Once aggregated, the outcomes will then be displayed according to the framework that is the **WIOA Annual State Performance Report Template/WIOA Annual Local Area Performance Report Template**.

WIOA ANNUAL STATE PERFORMANCE REPORT TEMPLATE

Required annual data for the core programs include those related to primary performance indicators, participant counts and costs, and barriers to employment as described below.

The following data must be included in the State Performance Report and will be reported on an individual or aggregate basis depending on the program to which they pertain as described later in this section.

Primary Performance Indicators for Core Programs

For WIOA core programs, States must report the results of primary performance indicators set forth at section 116(b)(2)(A) of WIOA:

1. the percentage of program participants who are in unsubsidized employment during the second quarter after exit from the program;¹
2. the percentage of program participants who are in unsubsidized employment during the fourth quarter after exit from the program;²
3. the median earnings of program participants who are in unsubsidized employment during the second quarter after exit from the program;
4. the percentage of program participants who obtained a recognized postsecondary credential, or a secondary school diploma or its recognized equivalent during participation in or within one year after exit from the program. For those participants who obtained a secondary school diploma or its recognized equivalent, the participant must also have obtained or retained employment or be in an education or training program leading to a recognized postsecondary credential within one year after exit from the program;
5. the percentage of program participants who, during a program year, are in an education or training program that leads to a recognized postsecondary credential or employment and who are achieving measurable skill gains toward such a credential or employment; and
6. the indicator(s) of effectiveness in serving employers.

Participant Counts and Cost Information

Under section 116(d)(2), the WIOA Annual State Performance Report must also include the following data:

7. The number of participants served by each of the core programs;
8. The number of participants who received career services during the most recent program year and the three preceding program years;
9. The number of participants who received training services during the most recent program year and the three preceding program years;
10. The amount of funds spent on career services;
11. The amount of funds spent on training services;

¹ For the Title I Youth program, the percentage of WIOA youth participants includes those who are in education or training activities, or in unsubsidized employment, during the second quarter after exit from the program (WIOA Sec (b)(2)(ii)(I)).

² For the Title I Youth program, the percentage of WIOA youth participants includes those who are in education or training activities, or in unsubsidized employment, during the fourth quarter after exit from the program (WIOA Sec (b)(2)(ii)(II)).

12. The number of participants who exited from career and training services, respectively, during the most recent program year and the three preceding program years;
13. The average cost per participant of those participants who received career and training services, respectively, during the most recent program year and the three preceding program years;
14. The percentage of participants in a program authorized under WIOA title I programs who received training services and obtained unsubsidized employment in a field related to the training received;
15. The number of participants who are enrolled in more than one of the core programs; and
16. The number of participants with barriers to employment served by each of the core programs, disaggregated by each subpopulation of such participants.

Barriers to Employment

Data must be collected in a manner so that the results may be disaggregated by the “Barriers to Employment,” as defined in section 3(24) of WIOA, for both number of participants served and performance on primary indicators, and then further disaggregated by age, race and ethnicity, and gender. Under section 3(24), an “individual with a barrier to employment” includes:

17. Displaced homemakers;
18. Low-income individuals;
19. American Indians, Alaska Natives, and Native Hawaiians, as such terms are defined in section 166 of WIOA;
20. Individuals with disabilities, including youth who are individuals with disabilities;
21. Older individuals (55+);
22. Ex-offenders;
23. Homeless individuals (as defined in section 41403(6) of the Violence Against Women Act of 1994 (42 U.S.C. 14043e–2(6)), homeless children and youths (as defined in section 725(2) of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11434a(2)), or runaway youth;
24. Youth who are in or have aged out of the foster care system;
25. Individuals who are English language learners, individuals who have low levels of literacy, and individuals facing substantial cultural barriers;
26. Eligible migrant and seasonal farmworkers, as defined in section 167(i) of WIOA;
27. Individuals within two years of exhausting lifetime eligibility under TANF (part A of title IV of the Social Security Act (42 U.S.C. 601 et seq.));
28. Single parents (including single pregnant women);
29. Long-term unemployed individuals (27 or more consecutive weeks); and
30. Such other groups, as the Governor determines to have barriers to employment.

To collect this data:

1. The Rehabilitation Services Administration (RSA) has modified its currently-approved information collection instrument used to obtain data on participants served through the Vocational Rehabilitation (VR) program (case service report, RSA-911, OMB Control No. 1820-0508) to collect participant-level WIOA core program data on a quarterly basis from the 80 VR agencies. This modified version of the RSA-911 was available for comment in the *Federal Register* (Docket ID ED-2015-OSERS-0001). RSA will analyze and aggregate these data for the purpose of computing the performance accountability measures and other figures required in the State performance report for each VR program that will be reported through OMB 1205-0NEW.
2. The Office of Career, Technical, and Adult Education (OCTAE) will modify its currently-approved information collection instrument, which obtains aggregate data from States using a set of reporting tables developed by The Department of Education (ED) (*Implementation Guidelines: Measures and Methods for the National Reporting System for Adult Education*, OMB Control No. 1830-0027). For the purposes of the Adult Education and Family Literacy Act (AEFLA) program, States will be required to complete and submit the WIOA Annual State Performance Report template, in addition to the aggregate data tables that States are required to submit to OCTAE under OMB Control No. 1830-0027.
3. The Department of Labor (DOL) intends to propose an additional collection information request to collect and report on data elements not specified in this collection. This will be a new Information Collection Request (ICR) for the Department, and will take the place of several other currently existing ICRs, such as the: 1205-0420 Workforce Investment Act (WIA) Management Information and Reporting System, 1205-0240 Wagner-Peyser Labor Exchange Reporting System (LERS), 1205-0464 YouthBuild Reporting System, 1205-0422 Reporting and Performance Standards for WIA Indian and Native American Programs, 1205-0425 Reporting and Performance Standards System for Migrant and Seasonal Farmworker Programs Under Title I, Section 167 of the Workforce Investment Act, and the 1205-0392 Trade Act Participant Report. It is expected that this additional collection will eliminate the need for the aforementioned ICRs; DOL will request to remove those from active status once the separate reporting requirements are no longer needed.

WIOA ANNUAL LOCAL AREA PERFORMANCE REPORT TEMPLATE

The WIOA Annual Local Area Performance Report Template is a subset of the WIOA Annual State Performance Report Template, which, under section 116(d)(3) of WIOA, requires the collection of the same aforementioned counts and costs disaggregated by the above listed barriers to employment with respect to the primary indicators for Title I Youth, Adult, and Dislocated Worker programs.

WIOA ELIGIBLE TRAINING PROVIDER (ETP) PERFORMANCE REPORT TEMPLATE

Section 116(d)(4) of WIOA—“Contents of Eligible Training Provider Report” (in 20 CFR Part 677 of the joint NPRM)—mandates the collection of specific information for each program of study for each eligible provider of training services under Title I Adult and Dislocated Worker programs. Required data for these two programs must include those related to primary performance indicators, participant counts and costs, and barriers to employment as described below. The data described below are required only for the Adult and Dislocated Worker programs (not the other four core programs).

The Departments seek specific comments regarding the method of collecting and displaying the data for the Eligible Training Provider (ETP) Performance Report on a standardized template and how to maximize the value of the template for workers, job-seekers, employers, local elected officials, State officials, Federal policymakers, and other key stakeholders. As noted above, WIOA specifies that states must make ETP-related data publicly available on a template that maximizes value for these stakeholders. Two approaches are currently under consideration for accomplishing this objective: grantees could complete the ETP performance reports using the proposed template included in this ICR and provide DOL with the appropriate location of the report, or grantees can send the necessary aggregate data to DOL where the data could be compiled, formatted, and displayed in a standardized user-friendly template and made available as required by WIOA sec. 116(d)(6)(B). The Departments seek input on the relative merits of each approach, and attributes that would maximize the value of the template to stakeholders.

Primary Performance Indicators for the WIOA ETP Performance Report

The (ETP) Performance Report, applicable only to the title I Adult and Dislocated Worker programs, must report the below five indicators with respect to all individuals who exited a program of study and all individuals who completed a program of study including individuals in the program of study who are not WIOA participants.

1. the percentage of individuals who are in unsubsidized employment during the second quarter after exit from the program of study;
2. the percentage of individuals who are in unsubsidized employment during the fourth quarter after exit from the program of study;
3. the median earnings of individuals in the program of study who are in unsubsidized employment during the second quarter after exit;
4. the percentage of program participants who obtain a recognized postsecondary credential, or a secondary school diploma or its recognized equivalent during participation in or within one year after exit from the program. For those participants who obtained a secondary school diploma or

- its recognized equivalent, the participant must also have obtained or retained employment or be in an education or training program;
5. the total number of individuals who exit from the program of study;

The ETP Performance Report must report the below indicators with respect to all WIOA participants in the program of study.

Participant Counts and Cost Information

6. The number of participants exiting from the program of study (or the equivalent);
7. The total number of participants who received training services through each of the adult program and the dislocated worker program authorized under chapter 3 of subtitle B, disaggregated by the type of entity that provided the training, during the most recent program year and the three preceding program years;
8. The total number of participants who exited from training services, disaggregated by the type of entity that provided the training, during the most recent program year and the 3 preceding program years;
9. The average cost per participant for the participants who received training services, disaggregated by the type of entity that provided the training, during the most recent program year and the three preceding program years; and
10. The number of individuals with barriers to employment served by each of the adult program and the dislocated worker program authorized under chapter 3 of subtitle B, disaggregated by each subpopulation of such individuals, and by race and ethnicity, sex, and age.

Barriers to Employment

Data on the WIOA Eligible Training Provider (ETP) Performance Report must be collected in a manner so that the results for WIOA participants may be disaggregated by “Individuals with Barriers to Employment,” as defined in section 3(24) of WIOA, for WIOA participants served as well as disaggregated by age, race and ethnicity, and gender. *See complete list of individuals with barriers to employment outlined in the previous section (WIOA Annual State Performance Report Template).*

2. **Explain how, by whom, how frequently, and for what purpose the information will be used. If the information collected will be disseminated to the public or used to support information that will be disseminated to the public, then explain how the collection complies with all applicable Information Quality Guidelines.**

WIOA requires States, Local Areas, and eligible training providers to submit the required data collected by these proposed ICRs. (WIOA Sec. 116(d)(1)).

The Departments will use the data collected to assess the effectiveness of WIOA's core programs and to monitor and analyze the performance of their grantees.

This data collection permits the Departments to evaluate program effectiveness, monitor compliance with statutory requirements, and analyze participant activity, while complying with OMB efforts to streamline Federal performance reporting.

Data collected in the WIOA Annual State Performance Report, WIOA Annual Local Area Performance Report, and WIOA Eligible Training Provider (ETP) Performance Reports (or the data associated) will be made accessible to the public through an annual report published on: www.doleta.gov/performance (the Adult, Dislocated Worker, and Youth programs under Title I and the Wagner-Peyser Act program under Title III); <https://rsa.ed.gov/> (the Vocational Rehabilitation Services program under Title IV); and <http://www.ed.gov/about/offices/list/ovae/resource/index.html> (the Adult Education and Family Literacy Act program under Title II). This performance information will also be distributed to workers and job seekers throughout the WIOA system, including through online formats as are consistent with statute. All reports will comply with the requirements of section 508 of the Rehabilitation Act, which requires electronic and information technology to be accessible to people with disabilities.

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological techniques or other forms of information technology.

States are encouraged to collect participant data through electronic means; however some States may still submit via paper. The majority of State reports and/or individual record data will be submitted electronically through portals established by the Departments.

4. Describe efforts to identify duplication.

This proposed information collection would be in addition to existing program-specific collections under approved OMB Control Numbers/Report titles described in more detail below. The existing collections will be either phased out or modified so that ongoing duplication is minimized. The proposed information collection would incorporate only those data elements that are common to all core programs and required by section 116 of WIOA. The Departments are collaborating to develop common definitions across programs in order to further reduce the chances of duplicative reporting.

The WIOA Eligible Training Provider Report is a new collection and, therefore, is not duplicative of any existing information collection.

Information collection burdens associated with this proposed information collection represent only the burden associated with reporting the new common performance data elements by the core programs and information collections that will be continued and are currently approved under

control number 1205-ONEW. This ICR does not reflect any burden associated with existing data collections that will continue separate and distinct and are currently approved under other control numbers.

The currently-approved program-specific data reporting that will continue, as applicable, in addition to the common data ICR discussed herein, for the core programs include:

- Control Number 1205-0420, Workforce Investment Act Management Information and Reporting System, with annual burden of 508,589 hours;
- Control Number 1205-0240, Labor Exchange Reporting System, with annual burden of 568,192 hours;
- Control Number 1830-0027, Measures and Methods for the National Reporting System for Adult Education, with annual burden of 5,700 hours; and
- Control Number 1820-0508, RSA-911 Case Service Report, with annual burden of 6,500 hours.

The Departments anticipate that some, but not all, of the above collections may be phased out or modified, as appropriate, as the WIOA performance measures are fully implemented.

Note that WIOA requirements that are addressed in this new proposed package may also overlap with currently approved information collections under program-specific information collection requests mentioned directly above. However, it is the intent of all aforementioned agencies to revise existing requests or create new requests to supplement this joint collection request as appropriate to reduce duplication for the States. For example, as described in response to No. 1, RSA has modified its existing RSA-911 case service report for the purpose of collecting individual-level WIOA core program data from the 80 VR program grantees on a quarterly basis. RSA uses no other method for collecting the data required under section 116 of WIOA and there exists no overlap with any other data collection instrument used by RSA.

Performance requirements of the Workforce Investment Act of 1998 (WIA) will continue to apply to the title I Adult, Dislocated Worker, and Youth programs and the title III Wagner-Peyser program for many months after the performance requirements of WIOA take effect. For this reason, the separate packages that address the requirements of WIA and WIOA must be simultaneously active for several months to collect the required data.

5. If the collection of information involves small businesses or other small entities, describe the methods used to minimize burden.

This data collection does not have a significant impact on small entities. However, Grantees must secure the necessary data from all sub recipients, as applicable, to incorporate into grantee-required reporting formats.

6. **Describe the consequences to the Federal program or policy activities if the collection is not conducted or is conducted less frequently.**

Failure to collect the required performance data, and/or report it less frequently than annually, would violate WIOA. Such failure could impact a State's receipt of Federal funds under WIOA, and/or be a determining factor for a financial sanction on the State (WIOA Sec. 116(f)). Moreover, such failure could impede the Departments' efforts to ensure compliance with Federal performance requirements, thereby affecting the Departments' ability to safeguard the Federal interest.

7. **Explain any special circumstances that require the collection to be conducted in a manner inconsistent with OMB guidelines.**

The Departments are collecting information in a manner consistent with OMB guidelines; therefore, there are no special circumstances.

8. **Provide information on the PRA *Federal Register* Notice that solicited public comments on the information collection prior to this submission. Summarize the public comments received in response to that notice and describe the actions taken by the agency in response to those comments.**

The Departments engaged the public through a Notice of Proposed Rulemaking (NPRM) Federal Register Notice, published on April 16, 2015 at 80 20573; the regulatory comment period closed on June 15, 2015. The Departments solicited public comments on this information collection prior to submission by publishing a 60-day Federal Register Notice.

9. **Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.**

There are no payments or gifts to respondents other than remuneration of contractors or grantees.

10. **Describe any assurance of confidentiality provided to respondents and the basis for assurance in statute, regulation, or agency policy.**

This collection of information proposes to collect personally identifiable information (PII) such as birthdates and social security numbers. PII such as Social Security Numbers are requested in the RSA-911, this PII is used for record control purposes (i.e., to cull out duplicates) and for the RSA-SSA Data Exchange required by section 131 of the Act.

The System of Records Notice for the RSA-911 (18-16-02) was published in the Federal Register April 8, 2004. The System of Records Notice for the Department of Labor's data collection is DOL/ETA-31.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private.

There are no questions of a sensitive nature.

12. Provide an estimate in hours of the burden of the collection of information.

The annual national burden for the information collection of the common performance accountability data is calculated across core programs based on the number of participants, the time it takes each respondent to complete and submit its report, and the costs incurred by each program to complete the data collection and report submission process. The States that administer the WIOA core programs and that will collect standardized data elements using this common control number include WIOA adult and dislocated workers, youth, Wagner-Peyser, Adult Education and Family Literacy Act, and Vocational Rehabilitation programs. The burden for the Eligible Training Provider data collection and reporting is also included as a separate set of tables within this burden estimate. The WIOA Annual Local Area Performance Reports for Title I programs are populated based on the information provided within a given state's WIOA Annual State Performance Report Template, using the exact same data elements and reporting format, and filtered by the local area in question. As such, the local area report incurs no additional collection burden.

Table 1: WIOA Annual State Performance Report Burden Estimate (Hours)

PROGRAM	Number of Respondents Annually	Total Estimated Number of Annual Responses (Program Completers)	Minutes Per Record	Annual Burden Hours
Adult & Dislocated Worker	57	1,733,096	15	433,274
Youth		102,723	15	25,681
Wagner-Peyser		14,667,612	5	1,222,301
Adult Education	57	1,598,756	15	399,689
Vocational Rehabilitation	80	589,402	15	147,351
TOTAL	194	18,691,589	Not Applicable	2,228,295

The total number of unique respondents (194) in Table 1 is based on the *sum* of the following numbers:

- 52 States (as defined in WIOA Sec. 3(56)) (each for ETA and Adult Education programs)
- 5 outlying areas (as defined in WIOA Sec. 3(45)(A)) (each for ETA and Adult Education programs)
- 80 State agencies (specific to Vocational Rehabilitation)

Total – 194 respondents annually

The annual burden hours were determined based on the estimated number of annual participant responses per program (the total estimated number of annual responses) and the average number of minutes necessary to collect the required data for each person’s individual record (minutes per record). For example, by multiplying the Wagner-Peyser program’s total estimated number of

annual responses (14,667,612) by their minutes per record (5) and dividing the product by the 60 minutes that make up an hour, an annual burden of 1,222,301 hours is calculated.

Table 2: WIOA Annual State Performance Report Burden Estimate (Costs)

PROGRAM	Number of Respondents Annually	Annual Burden Hours	Applicable Hourly Rate	Total Burden Cost
Adult & Dislocated Worker	57	433,274	\$25.50	\$11,048,487
Youth		25,681	\$25.50	\$654,859
Wagner-Peyser		1,222,301	\$25.50	\$31,168,676
Adult Education	57	399,689	\$25.50	\$10,192,070
Vocational Rehabilitation	80	147,351	\$33.63	\$4,955,397
TOTAL	194	2,228,295		\$58,019,488

The annual burden cost provided in Table 2 has been calculated based on each program’s total burden hours and the applicable hourly cost for States’ staff services. The WIOA adult and dislocated workers, youth, Wagner-Peyser, and Adult Education and Family Literacy Act programs calculate their hourly rate as \$25.50, the estimated average hourly earnings for state-run programs according to the State Government, North American Industry Classification System (NAICS) code 926110 ‘Administration of general economic programs, All States and US’ 2013 Annual Averages, Quarterly Census of Employment and Wages - Bureau of Labor Statistics (http://www.bls.gov/cew/apps/table_maker/v3/table_maker.htm#type=0&year=2013&qtr=A&own=2&ind=926110&supp=0).

The Vocational Rehabilitation Services program uses the hourly wage for Database Administrators (15-1141) who fall under NAICS code 999200 - State Government, excluding schools and hospitals (OES Designation http://www.bls.gov/oes/current/naics4_999200.htm#15-0000), which is \$33.63, to account for the IT-related responsibilities necessary to support their reporting process. By

multiplying the annual burden hours of a given program by its applicable hourly rate, the total burden cost for States can be calculated. For example, the annual burden hours of the Vocational Rehabilitation program (147,351) times its applicable hourly rate (\$33.63) determines its total burden cost of \$4,955,397.

Table 3: WIOA Eligible Training Provider (ETP) Performance Report Burden Estimate (Hours)

PROGRAM	Number of Respondents Annually	Total Estimated Number of Annual Responses	Minutes per Record	Annual Burden Hours
Eligible Training Providers	57	11,400	180	34,200

The annual burden hours for Eligible Training Providers Reporting were determined based on the estimated number of training providers (the total estimated number of annual responses) and the average number of minutes necessary to collect the required data from each service provider and report it (minutes per record). The total estimated number of annual responses was determined based on an estimated 200 training providers per state across 57 states and outlying areas. The annual hour burden is determined by multiplying the Eligible Training Providers annual participant responses (11,400) by 3 hours per record for an estimated annual burden of 34,200 hours.

Table 4: WIOA Eligible Training Provider (ETP) Performance Report Burden Estimate (Costs)

	Number of Respondents	Total Burden Hours	Applicable Hourly Rate	Total Burden Cost
Eligible Training Providers	57	34,200	\$33.63	\$1,150,146

The annual burden cost for Eligible Training Providers reporting is calculated by multiplying the total burden hours (34,200) by the applicable hourly rate of \$33.63. The total burden hours of 34,200 times the hourly rate of \$33.63 produces a total burden cost of \$1,150,146.

The Eligible Training Provider hourly rate was determined based on the hourly wage for Database Administrators (15-1141) who fall under NAICS code 999200 - State Government, excluding schools and hospitals (OES Designation http://www.bls.gov/oes/current/naics4_999200.htm#15-

0000), which is \$33.63, to account for the IT-related duties necessary to collect and maintain reporting data.

Table 5: Total Burden Hours and Cost for OMB 1205-0NEW

Row	Report	Total Respondents	Total Burden Hours	Total Burden Cost
1	WIOA Annual State Performance Report	194	2,228,295	\$58,019,488
2	WIOA Eligible Training Provider (ETP) Performance Report	57	34,200	\$1,150,146
3	TOTAL	194	2,262,495	\$59,169,634

Total Unique Respondents

The total number of unique respondents provided in Row 3 directly above reflects the *sum* of:

- Row 1 - the number of unique respondents for the WIOA Annual State Performance Report represented in *Table 1: WIOA Annual State Performance Report Burden Estimate (Hours)*.
- Row 2 – the number of unique respondents represented in *Table 3: WIOA Eligible Training Provider (ETP) Performance Report (Hours)*.

Total Burden Hours

When *adding* burden hours from rows 1 and 2 above, include:

- 2,228,295 hours - Total hours from *Table 1: WIOA Annual State Performance Report Burden Estimate (Hours)*
- 34,200 hours – Total hours from *Table 3: WIOA Eligible Training Provider (ETP) Performance Report Burden Estimate (Hours)*

The resulting *sum* of 2,262,495 hours represents the total hour burden for proposed 1205-0NEW.

Total Burden Costs

When *adding* burden costs from rows 1 and 2, including:

- \$58,019,488 - Total costs from *Table 2: WIOA Annual State Performance Report Burden Estimate (Costs)*
- \$1,150,146 - Total costs from *Table 4: WIOA Eligible Training Provider (ETP) Performance Report Burden Estimate (Costs)* total

- The resulting sum is \$59,169,634.

13. Provide an estimate of the total annual cost burden to the respondents or record-keepers resulting from the collection (excluding the value of the burden hours in #12 above).

There will also be an associated start-up cost for each respondent to implement WIOA. These costs include: enhancements to data collection systems to adhere to WIOA requirements, training staff on data collection rules, and for ETA there is an associated IT cost for transitioning data intake systems to collect exit information in a new method (e.g., data systems must be able to re-open closed cases if a participant re-enters the program within a given program year). A breakdown of these costs is listed below; it should be noted that the costs included here are one-time only.

Department - Agency	Respondents	Start-up Costs	Total Cost
DOL - ETA	57	\$300,000	\$17,100,000
ED - OCTAE	57	\$250,000	\$14,250,000
ED - RSA	80	*	\$0
TOTAL	194	\$550,000	\$31,350,000

*RSA will reconsider the issue of start-up costs as part of its review of the comments on the RSA-911 Case Records Report (OMB 1820-0508).

14. Provide estimates of annualized cost to the Federal government.

Based on previously estimated software development costs for the programs listed below, and considering the changes required by WIOA and the proposed regulations, a preliminary estimate of the cost for the development of the software needed to accept these reports for the Departments is \$1,768,925, including:

- \$750,000 for the Adult and Dislocated Worker programs under Title I and Wagner-Peyser programs under Title III (Employment and Training Administration of the Department of Labor). The federal IT costs will increase if DOL decides to utilize a common reporting portal to obtain ETP data;
- \$750,000 for the Adult Education and Family Literacy Act program under Title II (Office of Career, Technical, and Adult Education of the Department of Education); and
- \$68,925 for Vocational Rehabilitation under Title IV (Rehabilitation Services Administration of the Department of Education).

Based on previous estimates for Federal oversight, it is estimated that, on average, 5 GS-13s and 4 GS-14s will spend a total of 160 hours annually on oversight of each of the three core programs specified above. Assuming pay at the [GS-13 Step 4 level for the Washington D.C. Metro Area](#) for 2015 is \$47.87 and \$56.57, respectively, per hour and increasing this by a factor of 1.69 to reflect

total compensation costs, including insurance contributions (total \$80.90 for GS-13s and \$95.60 for GS-14s), the Federal oversight costs are estimated to result in a preliminary annualized cost of (5 staff x 3 programs x 160 hours x \$80.90 compensation/hour) + (4 staff x 3 programs x 160 hours x \$95.60 compensation/hour) = \$377,712.

TOTAL: \$1,768,925 initial development costs + initial \$377,712 federal oversight costs = \$2,146,637

15. Explain the reasons for any program changes or adjustments.

The proposed changes from the existing reporting requirements to the new reporting requirements are further explained in the joint NPRM related to: 20 CFR part 680 (Adult and Dislocated Workers); 20 CFR part 681 (Youth); 20 CFR parts 651 and 652 (Wagner-Peyser); 34 CFR parts 462 and 463 (Adult Education and Family Literacy Act); and 34 CFR part 361 (Vocational Rehabilitation). There is a program change increase of 2,262,495 annual burden hours.

16. For collections whose results will be published, outline the plans for tabulation and publication.

WIOA ANNUAL STATE PERFORMANCE REPORT

The Annual Report to be provided to Congress and the public via the Departments' websites will be tabulated in a manner that corresponds to section 116(d)(2)(A)-(J) of WIOA:

- A. Information specifying the levels of performance achieved with respect to primary indicators of performance for WIOA Youth, WIOA Adult, WIOA Dislocated Worker, Wagner-Peyser, Adult Education and Family Literacy Act, and the Vocational Rehabilitation programs, and the state adjusted levels of performance with respect to such indicators for each program.
- B. Information specifying the levels of performance achieved with respect to the primary indicators of performance for WIOA Youth, WIOA Adult, WIOA Dislocated Worker, Wagner-Peyser, Adult Education and Family Literacy Act, and the Vocational Rehabilitation programs with respect to individuals with barriers to employment, disaggregated by the following subpopulations: displaced homemakers, low-income individuals, Indians, Alaska Natives, and Native Hawaiians as such terms are defined in section 166, older individuals, low levels of literacy or English proficiency, disability status, homelessness, youth who are in or have aged out of the foster care system, migrant and seasonal farmworkers, single parents (including single pregnant women), ex-offender status, and welfare dependency of such individuals, and by race, ethnicity, sex and age.
- C. The total number of participants served by each core program.

- D. Number of participants who received career and training services, respectively, during the most recent program year and the three preceding program years and the amount of funds spent on each type of service.
- E. The number of participants who exited from career and training services, respectively, during the most recent program year and the three preceding program years.
- F. The average cost per participant of those participants who received career and training services, respectively, during the most recent program year and the three preceding program years.
- G. The percentage of participants in a program who received training services and obtained unsubsidized employment in a field related to the training received.
- H. The number of individuals with barriers to employment served by each program disaggregated by each subpopulation.
- I. The number of participants enrolled in more than one core program.
- J. The percentage of the state's annual allotment that the state spent on administrative costs.

WIOA ELIGIBLE TRAINING PROVIDER (ETP) PERFORMANCE REPORT

States will provide web addresses to DOL that will in turn be made available in DOL's Annual Report to Congress. These web addresses shall lead to data reported by each eligible provider of training services under section 122 of WIOA, with respect to each program of study, in a manner that is tabulated as described in section 116(d)(4)(A)-(F) of WIOA, summarized below:

- A. Information specifying the levels of performance achieved with respect to the primary indicators at section 116(b)(2)((A)(i)(I)-(IV) and 116(b)(2)((A)(ii)(I)-(III));
- B. The total number of individuals exiting from the program of study (or the equivalent);
- C. The total number of participants who received training services through each of the adult program and the dislocated worker program authorized under chapter 3 of subtitle B, disaggregated by the type of entity that provided the training, during the most recent program year and the three preceding program years;

- D. The total number of participants who exited from training services, disaggregated by the type of entity that provided the training, during the most recent program year and the three preceding program years;
- E. The average cost per participant for the participants who received training services, disaggregated by the type of entity that provided the training, during the most recent program year and the three preceding program years; and
- F. The number of individuals with barriers to employment served by each of the adult program and the dislocated worker program authorized under chapter 3 of subtitle B, disaggregated by race and ethnicity, sex, age, and each subpopulation of individuals with barriers to employment.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons why display would be inappropriate.

The expiration date will be included on the forms associated with this ICR.

18. Explain each exception to the certification statement identified in Item 19 of the OMB 83-I.

There are no exceptions.

B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS

The collection does not employ statistical methods.